

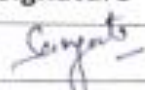
Rules & Regulations for the Award of Doctor of Philosophy (Ph.D.)

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DOCTOR OF PHILOSOPHY (Ph.D.)

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DOCTOR OF PHILOSOPHY (Ph.D.)

1. Preamble:

The Objective of the doctoral program is to provide opportunities for quality research for aspiring researchers and academicians. The researcher is expected to become familiar with a range of research methods, and to develop a background in his chosen domain of subjects and their functional areas.

APPLICABILITY: These Regulations shall apply to the program leading to the degree of Doctor of Philosophy (Ph.D.)

2. Definition:

(1) In these Regulations, unless the context otherwise requires,

- a) **“Act”** means the University Grants Commission Act, 1956 (3 of 1956);
- b) **“Adjunct Faculty”** means a part-time or contingent instructor, but not full-time faculty member hired to teach by a Higher Educational Institution;
- c) **“Cumulative Grade Point Average (CGPA)”** means a measure of the overall cumulative performance of a student over all semesters. The CGPA is the ratio of total credit points secured by a student in various courses in all semesters and the sum of the total credits of all courses in all semesters. It is expressed up to two decimal places;
- d) **“Credit”** means the number of hours of instruction required per week over the duration of a semester. A three-credit course in a semester means three lectures per week.
- e) **“School / College / Institute”** means an institution engaged in higher education and/or research, either established by a University as its constituent unit or is affiliated with it;
- f) **“Course”** means one of the specified units which go to comprise a programme of study; f) **“Course Work”** means courses of study prescribed by the School/Department/ Centre to be undertaken by a student registered for the Ph.D. Degree;
- g) **“Degree”** means a degree awarded by a Higher Educational Institution in accordance with the provisions of section 22 (3) of the Act;
- h) **“External examiner”** means an academician/researcher with published research work who is not part of the Geeta University, Panipat;
- i) **“Grade Point”** means a numerical weight allotted to each letter grade on a 10-point scale;
- j) **“Guide/Research Supervisor”** means an academician/researcher recognized by Geeta University, Panipat to supervise the Ph.D. scholar for his/her research;
- k) **“Interdisciplinary Research”** means research conducted by a Ph.D. scholar in two or more academic disciplines;
- l) **“Open and Distance Learning Mode”** shall have the same meaning as defined under the UGC (Open and Distance Learning Programmes and Online Programmes) Regulations 2020;
- m) **“Online Mode”** shall have the same meaning as defined under the UGC (Open and Distance Learning Programmes and Online Programmes) Regulations 2020;
- n) **“Plagiarism”** means the practice of taking someone else’s work or idea and passing them as one’s own;
- o) **“Programme”** means a higher education programme pursued for a degree specified by the Commission under sub-section (3) of section 22 of the Act;

- p) **“Research Proposal”** means a brief write-up giving an outline of the proposed research work which the Ph.D. scholar shall submit along with the application for registration for Ph.D. programme;
- q) **“Candidate/Applicant”** shall mean a person who has applied to the Ph.D. program, but is not yet registered for the same.
- r) **“URC”** shall mean a University research Center, which will be nodal office controlling the Ph.D. program in the University. URC is headed by Dean Research, who is appointed by Vice-chancellor for a period of three year.
- s) **“SRAC”** shall mean a Student Research Advisory Committee or an equivalent body for similar purpose for each Ph.D. scholar. The Research Supervisor of the scholar shall be the Convener of this Committee.
- t) **“DRC”** shall mean a Department Research Committee consisting of Dean/Director/HOD of the concerned Faculty/School/ Department, all professors of the concerned Department, two Associate professors or two senior faculties having Ph.D. degree, by rotation in order of seniority (for three year).
- u) **“Supervisor”** Shall mean a member of the faculty of the University approved by the RDC to guide/supervise the research work of the research scholar.
“Co-Supervisor” shall mean a member of the faculty of this or another University/College/Industry/Research institution, other than the supervisor, as approved by the RDC to guide /supervise the research work of the research scholar.
“Caretaker Supervisor” Shall mean a member of the faculty of the University appointed to act as the supervisor of the research scholar in the absence of the original supervisor (if he/she has taken long leave/left the university) before and /or after submission of the thesis as determined by DRC.
- v) **“Research Scholar”** shall mean a person registered for the Ph.D. program after completion of coursework and acceptance of proposed synopsis and devoting adequate time for completing the requirements of this degree.
“Part-time Research Scholar” shall mean a research scholar who pursues Ph.D. research while simultaneously engaged in professional or academic employment.
“Full-time Research Scholar” shall mean a research scholar devotes full working hours exclusively to pursuing Ph.D. research at Geeta University.
“International Research Scholar” shall mean a foreign national enrolled in the Ph.D. program who undertake research work in accordance with the university's academic and regulatory framework.
- w) **“Registration period”** shall mean the length of the period commencing with the date of 1st enrollment in Ph.D. program before coursework and ending on the date of award of the Ph.D. degree.
- x) **“University”** shall mean Geeta University, Panipat
- y) **“ODC”** shall mean the oral defense Committee, and shall consist of the Dean Academics/ Dean Research/ Director/ principal/ Dean/ HOD of the concerned College, the supervisor(s) and at least one of the two external examinees.

3. Admission to Ph.D. Programme

The student intake in each Department shall be as per the availability of seats. Admission to the Ph.D. programme shall be made twice a year, preferably in the Beginning of each semester. All the available seats shall be notified in advance in accordance with the latest guidelines, amended from time to time by the respective HOD to the Dean Research/Registrar.

4. Eligibility:

Eligibility criteria for admission to the Ph.D. Programme.-The following are eligible to seek admission to the Ph.D. programme:

- (1) Candidates who have completed: A 1-year/2-semester master's degree programme after a 4-year/8-semester bachelor's degree programme or a 2-year/4-semester master's degree programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed

or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.

A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of UGC from time to time.

Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of UGC from time to time.

- (2) Candidates who have completed the M.Phil. programme with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled.

Economically Weaker Section (EWS) and other categories of candidates as per the decision of the UGC from time to time.

5. Duration of Ph.D. Programme:

(1) Ph.D. Programme shall be for a minimum duration of three (3) years, including course work, and a maximum duration of six (6) years from the date of admission to the Ph.D. programme.

(2) A maximum of an additional two (2) years can be given through a process of re-registration after taking special permission from the Vice Chancellor of the university; provided, however, that the total period for completion of a Ph.D. programme should not exceed eight (8) years from the date of admission in the Ph.D. programme.

Provided further that, Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme after seeking approval of the Vice Chancellor. However, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. programme.

6. Procedure for Admission:

(A) Admission to the Ph.D. programme shall be made using the following methods:

1.(i) University may admit students who qualify for UGC- NET/UGC-CSIR-NET/GATE/CEED for admission in PhD program and similar National level tests based on an interview.

And/or

(ii) University shall conduct an Entrance Test followed by interview. The Entrance Test syllabus shall consist of 50% of research methodology and 50% shall be subject specific.

(iii) Students who have secured 50% marks in the entrance test are eligible to be called for the interview.

(iv) A relaxation of 5% marks will be allowed in the entrance examination for the candidates belonging to SC/ST/OBC/differently-abled category, Economically Weaker Section (EWS), and other categories of candidates as per the decision of the UGC from time to time.

(v) University may decide the number of eligible students to be called for an interview based on the number of Ph.D. seats available.

(vi) Provided that for the selection of candidates based on the entrance test conducted by the university, a weightage of 70% for the entrance test and 30% for the performance in the interview/viva- voce shall be given.

2. University shall

(i) Notify in advance on the website specifying the subject/discipline where the seats are available for admission, criteria for admission, the procedure for admission and all other relevant information for the candidates;

(B) Admission of International students in Ph.D. programme:

(1) Each supervisor can guide up to two international research scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars.

(2) The university shall follow a defined selection procedure for Ph.D. admission of international students keeping in view the guidelines/norms in this regard issued by statutory/regulatory bodies concerned from time to time.

7. Course Work:

- a. The Credit requirement for the Ph.D. coursework is a minimum of 12 credits, including a “Research and Publication Ethics” course and a “Research Methodology” course. The Research Advisory Committee can also recommend UGC recognized online courses as part of the credit requirements for the Ph.D. programme. The research scholar must complete course work within three initial semesters from the date of registration, otherwise the registration will be cancelled.
- b. All Full time Ph.D. scholars, irrespective of discipline, shall be required to train in teaching/education /pedagogy/writing related to their chosen Ph.D. subject during their doctoral period. Ph.D. scholars may also be assigned 6-9 hours per week of teaching/research assistantship for conducting tutorial or laboratory work and evaluations. Performance-based financial assistance will be provided to scholars for the initial period of three year after fulfilling the eligibility criteria and their performance will be reviewed every semester.
- c. A Ph.D. scholar must obtain a minimum of 55% marks or its equivalent grade in the UGC 10-point scale in the course work to be eligible to continue in the programme and submit or her thesis. is added
- d. There will be two components of evaluation in each course, one Continuous Internal Evaluation (CIE) consisting of flip classes/Presentation, Mid-semester Examination and assignment consisting total 50% weightage and other End Semester Examination (ESE) consisting 50% weightage. it is mandatory to obtain minimum **50%** marks in each course in the End Semester Examination and overall **55%** marks.

8. Ph.D. through Part-time Mode:

Permanent or regular employees working in R&D organizations, government bodies, industries, PSUs, or reputed institutions, as well as regular faculty from recognized universities, are eligible to pursue research at Geeta University. This also includes regular employees of Geeta University working in any department, school, centre, or administrative section.

(1) Ph.D. programme through part-time mode will be permitted, provided all the conditions stipulated in these Regulations are fulfilled.

(2) The university shall obtain a “**No Objection Certificate**” through the candidate for a part-time Ph.D. programme from the appropriate authority in the organization where the candidate is employed, clearly stating that:

- i. The candidate is permitted to pursue studies on a part-time basis.
- ii. His/her official duties permit him/her to devote sufficient time for research.
- iii. If required, he/she will be relieved from the duty to complete the course work.

9. Allocation of Research Supervisor:

Eligibility criteria to be a Research Supervisor, Co-Supervisor, Number of Ph.D. scholars permissible per supervisor, etc.

- (1) Full time faculty members working as Professor/Associate Professor of the university with a Ph.D., and at least five research publications in high quality peer-reviewed or refereed journals and Assistant Professors with a Ph.D., and at least three research publications in high quality peer- reviewed or refereed journals may be recognized as a Research Supervisor in the university. Such recognized research supervisors cannot supervise research scholars in other institutions/universities, where they can only act as Co-supervisors.

For Ph.D. scholars working in Central or State governments/ research or teaching institutions/ industry whose degrees are given by the university, the scientists in such institutions who are equivalent to Professor/Associate Professor/Assistant Professor can

also be recognized as supervisors if they fulfill the above requirements.

Provided that in areas/disciplines where there is no, or only a limited number of peer-reviewed or refereed journals, the university may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing. Co- Supervisors from within the same department or other departments of the university or other institutions may be permitted with the approval of the competent authority.

Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisors.

- (2) In case of interdisciplinary/multidisciplinary research work, if required, a Co-Supervisor from outside the Department/School/Centre/College/University may be appointed.
- (3) An eligible Professor/Associate Professor/Assistant Professor can guide up to eight (8) /six (6) / four (4) Ph.D. scholars, respectively, at any given time. However, one additional research scholar can be allocated to a professor by the special permission of the Vice Chancellor, if required. After the submission of the thesis, the slot of the respective supervisor shall be vacant to enroll another research scholar.
- (4) In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate, provided all the other conditions in these Regulations are followed, and the research work does not pertain to a project sanctioned to the parent Institution/Supervisor by any funding agency.
- (5) Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation.

10. Student Research Advisory Committee (SRAC) and its Functions

There shall be a Student Research Advisory Committee for each Ph.D. scholar.

It shall consist of following members:

- i. Research Supervisor: Convener
- ii. One member to be nominated by HOD
- iii. One member to be nominated by Dean of Concerned Faculty

The Research Supervisor of the Ph.D. scholar concerned shall be the Convener of this committee. This Committee shall have the following responsibilities:

- i. To review the research proposal and finalize the topic of research;
- ii. To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to do.
- iii. To periodically review and assist in the progress of the research work of the research scholar.
- iv. A research scholar shall appear before the Research Advisory Committee once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. The six-monthly progress reports shall be submitted by the Research Advisory Committee to the university with a copy to the research scholar.
- v. In case the progress of the research scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these

corrective measures, the Research Advisory Committee may recommend with specific reasons for cancellation of the registration of the research scholar.

11. Departmental Research Committee (DRC):

In each Department of the University, academic matters related to the Ph.D. programme shall be supervised by a Departmental Research Committee consisting of the following:

- (i) Head of the Department: Chairman (ex-officio)
 - (ii) All the Professors of the Department: (ex-officio)
 - (iii) Associate Professors in the Department, subject to a maximum of two/Two Senior most faculties by rotation according to seniority;
 - (iv) One external expert may be called by the Head of Department
- At least, 50% members shall be required to be present in the meeting to form the quorum, with the presence of the Chairman or his/her representative as mandatory.

12. Research Development Committee (RDC):

The RDC shall be the apex committee related to the PhD programme and other research-oriented activities in the University to promote good quality research. The RDC shall approve all the DRC, supervisor and co-supervisor, approval of examiner and examiners' panel, extension of research period, review and approval of the examiners' report, approval of Ph.D. degrees on the basis of viva voce and examiners' report. The RDC shall consist of the following:

- (I) Vice- Chancellor – Chairman
- (II) Dean Academics
- (III) Dean Research
- (IV) Dean of faculties
- (V) Director/ Principal of the Schools
- (VI) Controller of examinations,
- (VII) Chairpersons of all DRCs.

13. Registration and Approval of Topic/ Area of Research:

- a. Consequent upon confirmation of his/her admission, and successfully completion coursework the student will be allowed to register in the next semester. The date of initial registration of the research scholar shall normally be the date on which the research scholar reports in the respective Department / School and submits the joining report after deposition of complete semester fee. The topic/area of dissertation shall be approved by the RDC on the recommendations of the concerned DRC on a proposal presented and submitted by the student in the DRC and University Ethical Committee wherever is required.
- b. The research scholar should submit the research plan proposal and pass the course work within 18 months of the initial registration. If due to compelling adverse circumstances, the research scholar is not able to submit the research plan proposal and appear in the course work as stipulated above, or the research scholar is not able to pass the course work within 18 months' period, the research

scholar may, upon request, and with the approval of Vice Chancellor, be granted an extension of six months. During this extension period, the research scholar can be registered provisionally only. (However, the candidate has to pay all the semesters' fees till the submission of PhD thesis)

- c. No candidate shall be eligible to register for the full-time research programme if he/ she is already registered for any full-time programme of study of this University or in any other University/Institution/Deemed to be University/College/Institution of National importance.
- d. The RDC may cancel the registration of a student for breach of the provisions of clauses given above on the recommendation of the DRC

14. Performance Monitoring:

DRC shall have the following responsibilities.

- 1. To review the research proposal and finalize the topic/ area of research.
- 2. To guide the research scholar to develop the study design and methodology of research and identify the course of action that he/she has to do.
- 3. To periodically review and assist in the progress of the research work of the research scholar.
- 4. The semester process review meeting shall be held as specified in the Academic Calendar. A student cannot skip a presentation without prior permission. The DRC must record the progress of the research in the semester progress review form and submit it to University Research Centre.

15. Requirements during Registration Period:

- a. Every research scholar shall be required to show continuous progress during the period of his/her registration and it shall be monitored by the supervisor of the candidate.
- b. Every research scholar shall be required to submit half-yearly report. At the close of every semester, the research scholar is required to submit the semester progress report before 1st July for even semester and before 1st January for odd Semester to the Chairperson of the DRC. Semester progress report is to be submitted through research supervisor(s) with remarks of all the supervisor(s) duly recorded as satisfactory/unsatisfactory. The progress shall be evaluated by the DRC and the result of evaluation recorded as satisfactory/unsatisfactory and shall be forwarded by the Chairperson, DRC to RDC.
- c. If due to compelling adverse circumstances (as considered so by the DRC), a research scholar is not able to submit the progress report by the stipulated date, or the progress of the research scholar is adjudged by the DRC as unsatisfactory, the Research Scholar, on request, can be allowed an additional chance for the same. The research scholar is expected to improve the research work and submit the progress report again at the close of the following semester (after six months) to DRC. During this semester, the research scholar can be registered provisionally only (the normal semester fees, however, will have to be paid), and the minimum registration period requirement will automatically be extended by six months.
- d. It is the responsibility of every research scholar to stay informed about any amendments to the ordinances or regulations. The University will not issue individual

notifications. Relevant circulars and updates will be available in the offices of the respective Department/School. Scholars are expected to maintain close communication with their supervisor(s) to remain updated.

- e. No travel allowance (TA), daily allowance (DA), or similar reimbursements shall be provided to research scholars for visits to Geeta University or any other location in connection with their Ph.D. research work.
- f. In case of any change in contact information, the research scholar must promptly notify their supervisor and the concerned Head so that records can be updated accordingly.
- g. If it is discovered at any stage after admission that the research scholar did not meet the eligibility requirements specified in their admission offer, including any misinformation provided, the matter will be referred to the appropriate University authorities with a recommendation for cancellation of the scholar's admission.
- h. Geeta University reserves the right to cancel the admission or registration of any research scholar and require them to discontinue their studies at any point if their academic performance is unsatisfactory, or if they are found guilty of indiscipline or misconduct.
- i. Candidates already in employment shall also have to pursue course work as a regular student and to submit NOC from the current employer.

16. Removal of the Name from the Rolls of the University:

- a. The name of a student shall be deemed to have been removed from the rolls of the University if he/she
 - i. Fails to qualify any pre-requisite course within the stipulated duration
 - ii. fails to submit the two half-yearly reports consecutively
 - iii. fails to deposit the registration/ annual/ continuation fee within the stipulated time
 - iv. fails to submit his/her thesis within six years from the date of admission to the Ph.D. programme. Provided further that the Vice Chancellor may, on the recommendation of the DRC/ RDC grant extension to the scholar to submit the thesis.
- b. The Dean Research, on the recommendation of the Departmental Research Committee, may, however, accept the request of a candidate whose name has been removed from the rolls of the University to get re-admitted and become eligible for submission of his/her thesis, provided he/she submits his/her thesis within one year from the date of such re-admission or within maximum seven years of the date of his/her admission, whichever is earlier.
- c. The date of re-registration shall be considered as the date on which the re-registration notification is issued by registrar. Additionally, any pending semester registration fee for the current semester must also be paid if not already cleared. Normal semester fees for subsequent semesters will apply as per University rules.

17. Guidelines for the Submission of Thesis:

The thesis must present a comprehensive and critical description of the research conducted by the scholar. It should reflect either the discovery of new facts, an

innovative perspective on interpreting existing facts and theories, a substantial addition to existing knowledge or technological development, or a blend of these elements. The work should demonstrate the scholar's analytical skills, sound judgment, and capability for independent research, design, or development activities.

- a. No candidate shall be permitted to submit his/her thesis for the Ph.D. degree, unless he/she has pursued research at the University for not less than three years
- b. after his/her admission (including Course work) to the Ph.D. programme.
- c. The Ph.D. scholars should/advised to publish at least two research papers in high quality SCI/SCIE/Scopus indexed peer reviewed journals out of Ph.D. research work.

d. Pre-Thesis Submission Presentation:

1. After the completion of the research and fulfill all the requirements of Ph.D. the research scholar through the respective Research Supervisor will submit a completion certification and request for holding the Pre-Thesis submission presentation to the DRC chairman well in time (at least thirty days in advance). The request of the research scholar shall be processed by the concerned DRC within three weeks of the request received.
 - i. Prior to the submission of thesis, the student shall present a pre-thesis submission seminar in the Department that shall be open to all faculty members and research students, for getting feedback and comments, which shall be suitably incorporated into the draft thesis under the advice of the supervisor. The notice of Pre-thesis Submission Presentation shall be issued by the Head of the Department under intimation to the Vice-Chancellor/Dean Research.
 - ii. After successful presentation of the Pre-Thesis Submission, on recommendations of DRC, the research scholar is required to submit the thesis within six months from the date of pre-submission, failing which he/she shall have to repeat the pre-submission presentation. The thesis shall be submitted along with the examination fee and all prescribed documents.
 - iii. The Ph.D. scholar shall submit a copy of the thesis in the form of a CD/Pen drive/official email to the Library for plagiarism check and then he/she shall be eligible for submission on production of satisfactory report of the plagiarism check as per UGC norms. No part of the thesis or supplementary published work should have been submitted for the award of any other degree or diploma.
 - iv. A candidate shall submit his/her thesis for the Ph.D. degree in the manner prescribed as under:
 - a. The research scholar shall submit four printed/typed soft bound copies of his/her thesis in the prescribed format along with the copies of the abstract and the summary, mentioning the name of the candidate, supervisor, etc., along with two softcopies of the thesis on separate Pen drives.
 - b. The typing/printing of thesis should be done on both sides of the A-4 paper, in font size 12 in "Times New Roman" font with 1.5 line-spacing. For other details, the research scholar shall adhere to the guidelines prescribed by

University.

- c. The title page of Ph.D. thesis would be in the Colour prescribed by the University.
- d. Thesis shall be accompanied by a declaration from the candidate:
 - (a.) that there is no plagiarism (submission of plagiarism report is mandatory)
 - (b). a certificate attesting to the originality of the thesis counter signed by the Supervisor and Head of the Department in the following format:

Declaration: This is to certify that the material embodied in the present work, entitled “-----”, is based on my original research work. It has not been submitted, in part or full, for any other diploma or degree of any University/Institution Deemed to be University and College/Institution of National Importance. References from other works have been duly cited at the relevant places. (Signature of the Candidate with date) (Counter signed by Supervisor and Head of the Department with date).

18. Panel of Examiners:

- a. On the recommendation of the Supervisor, the DRC shall forward a panel of eight external examiners (at least four of the examiners in the panel shall be from outside the State/Country) from the concerned area of Research, to the Controller of Examinations on the prescribed Performa for drawing a panel of examiners. Further, the Vice-Chancellor shall have the discretion to add and/or delete any name/s recommended by the DRC.
- b. The viva-voce examination, based among other things, on the critiques given in the evaluation report, shall be conducted by the Research Supervisor and at least one of the two external examiners, and shall be open to be attended by DRC, all faculty members of the Department, other research scholars and other interested experts/researchers/students.

19. Evaluation of Thesis:

- a) The Ph.D. thesis submitted by a research scholar shall be evaluated by his/her Research Supervisor and at least two external examiners, who are not in employment of the University, of whom one examiner may be from outside the State/Country.
- b) The Vice-Chancellor, shall appoint two external examiners out of the panel recommended by the DRC with at least one examiner from outside the State/Country for evaluation of the thesis.
- c) Each Examiner, after examining the thesis, shall submit a report to the Controller of Examination (COE) containing a clear recommendation whether, in his/her opinion:
 - i. The thesis as such is acceptable and the viva-voce examination of the candidate

may be held; or

- ii. The thesis should be referred back to the candidate for revision and re-submission; or
- iii. The thesis is rejected.

b. The examiner shall not recommend that the viva-voce be held unless he/she is satisfied,

- i. that the thesis constitutes a contribution to knowledge characterized either by re-interpretation of known facts or development of new knowledge and/or techniques and,
- ii. that the methodology pursued by the candidate is sound, and,
- iii. that its literary presentation is satisfactory.

c. If the COE is satisfied that the external examiners have unanimously recommended that the viva-voce examination of the candidate be held, he/she shall accordingly refer it to the Head of the Department to prepare a summary report in consultation with the supervisor(s) concerned for conduct of viva- voce.

d. In case the COE finds that the report of the external examiners of the thesis have not recommended unanimously and the report of one of the external examiner is positive and other is negative about the viva-voce examination of the candidate to be held, then the COE shall place the report of the examiners before the Vice Chancellor to seek approval for an alternate examiner from the list of approved external examiners. Viva Voce examination shall be held only if the alternate external examiner recommends acceptance of the thesis. If the alternate external examiner does not recommends the acceptance of the thesis, the thesis shall be rejected, and the Ph.D. candidate shall be declared ineligible for the award of the Ph.D.

- e. A recommendation is not to be considered negative if a revision is recommended and this revised thesis is accepted by the examiner.

Provided further, if the thesis after revision is not accepted by the examiner, the original and the revised version of the thesis shall be sent to the third external examiner and the version approved by the third external examiner shall be considered to be final.

- f. The university shall complete the entire process of evaluating the thesis, including the declaration of the viva-voce results, within a period of six months from the date of submission of thesis.

Important:

- (1) No thesis shall earn a degree unless there are three positive recommendations, including that of the Research Supervisor.
- (2) Where two recommendations are positive and the third asks for revision, the DRC shall ordinarily get the revision carried out and the revised thesis

sent to the same examiner.

20. Viva-Voce:

- a. A research Scholar, whose thesis is recommended for acceptance on the basis of thesis evaluation, shall be required to defend his/her work/thesis orally before a duly constituted committee, hereinafter referred to as Oral Defense Committee (ODC) at the University premises. Any deviation from this procedure should have prior permission of the VC. On the completion of all the stage of examination. The COE shall put before the RDC, the report of the ODC to approve one of the Following:
 - (i) That the degree of Ph.D. be awarded. or
 - (ii) That the research scholar be re-examined at a later specified time in a specified manner, or
 - (iii) That the degree of Ph.D. not be awarded.

21. Award of The Degree.

The degree shall be awarded by the University on the recommendation of Board of Management as mentioned in the university statute provided that:

1. The RDC so approves.
2. The research scholar produces a “No Dues Certificate” in the prescribed form
3. The date of RDC approval shall be the date of completion of the degree.

22. Fee for Ph.D.Programme:

The research scholar shall have to pay the requisite fee prescribed by the University failing which his/her registration shall be cancelled.

The fee may be charged under the heads like Course Work fee (for those who are required to complete Course Work); Registration Fee (at the time of registration); Semester Fee/continuation fee (Semester Fee shall be payable within 15 days of registration and semester wise thereafter); Annual Laboratory fee for science subjects and other subjects involving laboratory work; Evaluation fee to be charged at the time of submission of Ph.D. thesis; Late fee for delayed payment of semester fee; and any other fees as prescribed by the University.

The research scholar has to submit semester/ continuation fee in each semester till the submission of the thesis.

The fees shall be subject to changes as decided by the University from time to time.

23. Fellowship and Teaching Assistantship

- A. The candidate enrolled for Ph.D. may be awarded scholarship/fellowship by funding agency and their payment of scholarship shall be released after their cases are recommended by the Departmental Research Committee (DRC).
- B. JRF students registered for Ph.D. research work will be paid fellowship as and when received from funding agency by the university.

- C. Candidate availing the fellowship are bound to follow all the rules of the funding agency.
- D. Selected candidate may give chance for teaching assistantship on the basis of their merit and university selection process. They shall be given remuneration on the basis the university rules.

Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree, the University shall submit an electronic copy of the Ph.D. thesis to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions/Colleges.

24. **Issuing a Provisional certificate.** Prior to the actual award of the Ph.D. degree, the university shall issue a provisional degree certificate to the effect that the Ph.D. is being awarded in accordance with the provisions of the University Grants Commission (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022.